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AUG 2 0 2007

Practitioner's Docket No. 03045PCT

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Nippon Shokubai Co., Ltd.

Serial No.: 10/549,243

Filed: September 12, 2005

For: Additive for optical resins, and optical resin composition

Examiner: Zimmer, Marc S.

Group No.: 1712

Confirmation No.: 2418

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### Certificate of Facsimile Transmission

I hereby certify that the following documents are being facsimile transmitted to the United States Patent and Trademark Office on August 18, 2007 to 1-571-273-8300 totaling 24 pages by applicant's attorney, Robert J. Jacobson:

- 1. This Certificate of Facsimile Transmission dated August 18, 2007 (one page);
- Information Disclosure Statement dated August 18, 2007 (two pages);
- 3. PTO-1449 form (one sheet);
- 4. JPO Communication dated July 3, 2007 (Document a, two pages total); and
- 5. JP 2003-82045 and English abstract therefor (Document b, 18 pages total).

Respectfully submitted.

Date: 8-18-07

Robert J. Jacobson Reg. No. 32,419

Tel. No.: (651) 699-7900 Fax. No.: (651) 699-7901

650 Brimhall Street South St. Paul, MN 55116-1511

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#### Information Disclosure Statement

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicant hereby submits the reference(s) listed on the attached Form(s) 1449 (or equivalent) such that said reference(s) may be considered and made of record. A copy of each of the cited reference(s) is enclosed. No representation is made that any of the listed references is prior art pursuant to 35 U.S.C. § 102 and/or 35 U.S.C. § 103.

This Information Disclosure Statement is being filed in accordance with the following provision(s):

37 CFR 1.97(c) An information disclosure statement shall be considered by the Office if filed by the applicant after the period specified in paragraph (b) of this section, provided that the information disclosure statement is filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action

son, Reg. No. 32,419

(Information Disclosure Statement-page 1 of 2)

I hereby certify that this correspondence and the documents referred to as enclosed therein are being facsimile transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 18, 2007 to 1-571-273-8300 by applicant's attorney, Robert Jacobson.

that otherwise closes prosecution in the application, and it is accompanied by one of: (1) The statement specified in paragraph (e) of this section; or (2) The fee set forth in § 1.17(p). This information disclosure statement is accompanied by said paragraph (e) below:

37 CFR 1.97 (e) (1) The undersigned hereby certifies that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

37 CFR 1.98(a)(3)(i) A concise explanation of the relevance as it is presently understood by the individual designated in § 1.56(c) most knowledgeable about the content of the information, of each patent, publication, or other information listed that is not in the English language. The following references are being submitted under this provision with an English abstract:

## b. JP 2003-82045 A

For the information of The Patent Office, please find further enclosed a copy of a JPO Communication dated July 3, 2007 (document "a," two pages total) for the corresponding Japanese application. The JPO Communication cites the reference listed on the PTO-1449.

Respectfully submitted,

Date: 8-18-07

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(Information Disclosure Statement-page 2 of 2)

PTO/SB/06a (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO				Complete If Known		
				Application Number	10/549,243	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	09/12/2005	
				First Named Inventor	Yoshikuni SASAKI	
				Art Unit	1712	
(use as many sheets as necessary)				Examiner Name	Zimmer, Marc S.	
Sheet	one	of	one	Attorney Docket Number	03045PCT	

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.1	Document Number  2 Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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	FOREIGN PATENT DOCUMENTS								
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		Office	3 Number4	Kind <sup>s</sup> (# known)		Applicant of Cited Document			
	Ь	JP	2003-82045	A	03-19-2003	Nippon Shokubai Co., Ltd.			
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Examiner	•	Date	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanesse patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁵ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandría, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandría, VA 22313-1460.